



REC/175  
3/31/03  
#11/RCE/  
Amdt E

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PARKHURST & WENDEL, L.L.P.  
1421 Prince Street  
Suite 210  
Alexandria, Virginia 22314-2805  
Telephone: (703) 739-0220

Attorney Docket No.: DAIN:447A

Date: March 20, 2003

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Prior Application:

Examiner: Shean Chiu Wu

Art Unit: 1756

Sir:

This is a

- ☐ Continued Prosecution Application (CPA) under 37 CFR §1.53(d);  
☒ Request for Continued Examination (RCE) under 37 CFR §1.114  
(prosecution of the application is closed in the sense of 37 CFR §1.114(b));  
☐ Divisional Continuation Application (parent not abandoned) under  
37 CFR §1.53(b);  
☐ Divisional Application (parent being abandoned) under 37 CFR  
§1.53(d);

of pending prior application Serial No. 09/679,538, filed October 6, 2000

for [Title as filed]: LIQUID CRYSTALLINE COMPOUNDS AND PROCESS FOR PRODUCING  
THE SAME

[Amended Title]: same

by [Inventors]: Junichi HANNA, Masahiro FUNAHASHI, Komei KAFUKU, and  
Kyoko KOGO

1. ☐ A copy of the prior application is attached. This copy comprises  
a true copy of the prior application as filed (specification,  
claims, drawings, declaration). No amendments referred to in the  
declaration (if any) filed to complete the prior application  
introduced new matter therein.
2. ☐ Abandon said prior application as of the filing date accorded this  
application.

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3. [X] The filing fee is calculated below:

CLAIMS REMAINING IN AN APPLICATION AFTER ENTRY OF ANY PRELIMINARY AMENDMENT OR AMENDMENT UNDER 37 CFR \$1.116 NOTED BELOW		Basic Fee
Total claims	19 - 20 = 0 x \$18.00 (* 9.00) =	-
Independent claims	1 - 3 = 0 x \$84.00 (*42.00) =	-
Total Filing Fee.....		\$750.00

4. [X] A check in the amount of \$750.00 is enclosed (Ck# 16382).  
THE COMMISSIONER IS HEREBY AUTHORIZED TO CHARGE ANY OTHER FEES  
WHICH MAY BE REQUIRED OR CREDIT ANY OVERPAYMENT TO DEPOSIT ACCOUNT  
NO. 160331.

5. [ ] Cancel in this application claims of the prior application before  
calculating the filing fee. At least one independent claim is  
retained for filing purposes.

6. [ ] Amend the specification by inserting before the first line the  
sentence:  
--This is a [ ] Continuation [ ] Division of application Serial No.  
09/679,538 filed October 6, 2000 /--

7. [X] Please enter in the present application the Amendment Under 37 CFR  
\$1.116 with any attachments filed on January 24, 2003 in said prior  
application which was not entered therein.

8. [X] Priority of foreign application(s) No. 49593/1997, filed February  
19, 1997 in Japan in parent application Serial No. 09/025,249 is  
claimed under 35 U.S.C. \$119.

[X] The certified copy was filed in prior application No. 09/025,249  
on April 3, 1998.

[ ] A certified copy of the above corresponding foreign application is  
filed herewith.

9. [X] The prior application is assigned of record to DAI NIPPON PRINTING  
CO., LTD. Recorded at Reel 9115, Frame 0068.

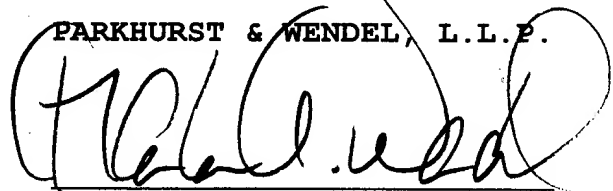
10. ☒ The power of attorney in the prior application is to Roger W. Parkhurst, Registration No. 25,177 and Charles A. Wendel, Registration No. 24,453:
- ☒ a. The power appears in the original papers in the prior application.
  - ☐ b. Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
  - ☒ c. Address all future communications to

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Telephone: (703) 739-0220.

11. ☒ An Application Data Sheet is enclosed.
12. ☐ A Preliminary Amendment is enclosed herewith.
13. ☐ An Information Disclosure Statement is enclosed.
- 14 ☒ This application is not entitled to Small Entity Status.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.



Charles A. Wendel  
Registration No. 24,453

(rev. 01/02)